

# Khambatta Securities Ltd.

ESTD. 1918

Annexure-B

## Investor complaints – Khambatta Securities Limited as a Depository Participant

| Data for every month ending:<br>November - 2025 |                         |                                     |                           |               |            |                                   |                                |                                   |
|-------------------------------------------------|-------------------------|-------------------------------------|---------------------------|---------------|------------|-----------------------------------|--------------------------------|-----------------------------------|
| SN                                              | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved * | Pending at the end of the month** |                                | Average Resolution time^(in days) |
|                                                 |                         |                                     |                           |               |            | Pending for less than 3 months    | Pending for more than 3 months |                                   |
| 1                                               | Directly from Investors | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                               |
| 2                                               | SEBI (SCORES)           | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                               |
| 3                                               | Stock Exchanges         | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                               |
| 4                                               | Other Sources (if any)  | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                               |
|                                                 | <b>Grand Total</b>      | <b>Nil</b>                          | <b>Nil</b>                | <b>Nil</b>    | <b>Nil</b> | <b>Nil</b>                        | <b>Nil</b>                     | <b>Nil</b>                        |

\*Should include complaints of previous months resolved in the current month, if any. \*\*Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month. days, in the current month divided by total number of complaints resolved in the current month.

| Trend of monthly disposal of complaints |                        |                                     |          |           |         |
|-----------------------------------------|------------------------|-------------------------------------|----------|-----------|---------|
| Sr. No                                  | Month                  | Carried forward from previous month | Received | Resolved* | Pending |
| 1                                       | December 2024          | Nil                                 | Nil      | Nil       | Nil     |
| 2                                       | January 2025           | Nil                                 | Nil      | Nil       | Nil     |
| 3                                       | February 2025          | Nil                                 | Nil      | Nil       | Nil     |
| 4                                       | March 2025             | Nil                                 | Nil      | Nil       | Nil     |
| 5                                       | April 2025             | Nil                                 | Nil      | Nil       | Nil     |
| 6                                       | May 2025               | Nil                                 | Nil      | Nil       | Nil     |
| 7                                       | June 2025              | Nil                                 | Nil      | Nil       | Nil     |
| 8                                       | July 2025              | Nil                                 | Nil      | Nil       | Nil     |
| 9                                       | August 2025            | Nil                                 | Nil      | Nil       | Nil     |
| 10                                      | September 2025         | Nil                                 | Nil      | Nil       | Nil     |
| 11                                      | October 2025           | Nil                                 | Nil      | Nil       | Nil     |
| 12                                      | November 2025          | Nil                                 | Nil      | Nil       | Nil     |
| Trend of annual disposal of complaints  |                        |                                     |          |           |         |
| Sr. No                                  | Year                   | Carried forward from previous month | Received | Resolved* | Pending |
| 1                                       | 2021-2022              | Nil                                 | Nil      | Nil       | Nil     |
| 2                                       | 2022-2023              | Nil                                 | Nil      | Nil       | Nil     |
| 3                                       | 2023-2024              | Nil                                 | Nil      | Nil       | Nil     |
| 4                                       | 2024-2025              | Nil                                 | 1        | 1         | Nil     |
| 5                                       | 2025-2026 (in process) | Nil                                 | Nil      | Nil       | Nil     |
|                                         | Grand Total            | Nil                                 | 1        | 1         | Nil     |