

Investor Complaints – Khambatta Securities Limited as a Merchant Banker

Data for every month ending: October - 2025								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	Nil	2	Nil	2	Nil	Nil	2
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	2	Nil	2	Nil	Nil	2

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month. *Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	June 2025	Nil	2	2	Nil
2	July 2025	Nil	Nil	Nil	Nil
3	August 2025	Nil	1	1	Nil
4	September 2025	Nil	2	2	Nil
5	October 2025	Nil	2	2	Nil

Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-2022	Nil	Nil	Nil	Nil
2	2022-2023	Nil	1	1	Nil
3	2023-2024	Nil	Nil	Nil	Nil
4	2024-2025	Nil	8	8	Nil
5	2025-2026 (in process)	Nil	7	7	Nil
	Grand Total	Nil	16	16	Nil