

# Khambatta Securities Ltd.

ESTD. 1918

Annexure- B

## Investor complaints – Khambatta Securities Limited as a Research Analyst

Data for the month ending June- 2024.							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	1	1	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	July 2023	Nil	Nil	Nil	Nil
2	August 2023	Nil	Nil	Nil	Nil
3	September 2023	Nil	Nil	Nil	Nil
4	October – 2023	Nil	Nil	Nil	Nil
5	November-2023	Nil	Nil	Nil	Nil
6	December-2023	Nil	Nil	Nil	Nil
7	January 2024	Nil	Nil	Nil	Nil
8	February 2024	Nil	Nil	Nil	Nil
9	March 2024	Nil	Nil	Nil	Nil
10	April 2024	Nil	Nil	Nil	Nil
11	May 2024	Nil	Nil	Nil	Nil
12	June 2024	Nil	Nil	Nil	Nil
Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous month	Received	Resolved*	Pending
1	2020-21	Nil	Nil	Nil	Nil
2	2021-22	Nil	Nil	Nil	Nil
3	2022-23	Nil	1	1	Nil
4	2023-24	Nil	Nil	Nil	Nil
5	2024-25 (in process)	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	1	1	Nil

\*Inclusive of complaints of previous years resolved in the current year.