

# Khambatta Securities Ltd.

ESTD. 1918

Annexure-B

## Investor complaints – Khambatta Securities Limited as a Depository Participant

March- 2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

\*Should include complaints of previous months resolved in the current month, if any. \*\*Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil
9	December 2023	Nil	Nil	Nil	Nil
10	January 2024	Nil	Nil	Nil	Nil
11	February 2024	Nil	Nil	Nil	Nil
12	March 2024	Nil	Nil	Nil	Nil

### Trend of annual disposal of complaints

Sr. No	Year	Carried forward from previous month	Received	Resolved*	Pending
1	2019-2020	Nil	Nil	Nil	Nil
2	2020-2021	Nil	Nil	Nil	Nil
3	2021-2022	Nil	Nil	Nil	Nil
4	2022-2023	Nil	Nil	Nil	Nil
5	2023-2024 (in process)	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>