

Khambatta Securities Ltd.

ESTD. 1918

Annexure- B

Investor complaints – Khambatta Securities Limited as a Research Analyst

Data for the month ending September - 2024.							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	October – 2023	Nil	Nil	Nil	Nil
2	November-2023	Nil	Nil	Nil	Nil
3	December-2023	Nil	Nil	Nil	Nil
4	January 2024	Nil	Nil	Nil	Nil
5	February 2024	Nil	Nil	Nil	Nil
6	March 2024	Nil	Nil	Nil	Nil
7	April 2024	Nil	Nil	Nil	Nil
8	May 2024	Nil	Nil	Nil	Nil
9	June 2024	Nil	Nil	Nil	Nil
10	July 2024	Nil	Nil	Nil	Nil
11	August 2024	Nil	Nil	Nil	Nil
12	September 2024	Nil	Nil	Nil	Nil
Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous month	Received	Resolved*	Pending
1	2020-21	Nil	Nil	Nil	Nil
2	2021-22	Nil	Nil	Nil	Nil
3	2022-23	Nil	1	1	Nil
4	2023-24	Nil	Nil	Nil	Nil
5	2024-25 (in process)	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil

*Inclusive of complaints of previous years resolved in the current year.