

Investor Complaints – Khambatta Securities Limited as a Merchant Banker

Data for every month ending: November - 2024								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any. **Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month. days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	May 2024	Nil	Nil	Nil	Nil
2	June 2024	Nil	Nil	Nil	Nil
3	July 2024	Nil	Nil	Nil	Nil
4	August 2024	Nil	Nil	Nil	Nil
5	September 2024	Nil	Nil	Nil	Nil

Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2020-2021	Nil	Nil	Nil	Nil
2	2021-2022	Nil	Nil	Nil	Nil
3	2022-2023	Nil	1	1	Nil
4	2023-2024	Nil	Nil	Nil	Nil
5	2024-2025 (in process)	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil